# ONLINE TRADING (OLT) FOR NRIs/PIO

## GLOSSARY OF CHARGES

### I. BROKERAGE CHARGES:

**Please choose one from SIX options given below:**

| Option 1 | NORMAL BROKERAGE OPTION (NBO) | |
|---|---|---|
| | Delivery | 0.35% |

| Option 2 | UPFRONT BROKERAGE OPTION (UBO) Choice of 4 options | | | |
|---|---|---|---|---|
| | | Standard | Silver | Gold | Platinum |
| | VALIDITY | ONE MONTH | | | |
| | TURNOVER: | Rs. in Lacs | | | |
| | Delivery | 1.00 | 2.00 | 5.00 | 25.00 |
| | Brokerage payable upfront | Rs.299/- | Rs.499/- | Rs.999/- | Rs.3999/- |
| | Effective Rate: | | | | |
| | Delivery | 0.30% | 0.25% | 0.20% | 0.16% |

| Option 3 | TURNOVER BASED BROKERAGE OPTION (TBO) | |
|---|---|---|
| | Daily Turnover below Rs.5.00 lacs | 0.25 % |
| | Daily Turnover of above Rs.5.00 lacs but less than Rs.20.00 Lacs | 0.15 % |
| | Daily Turnover of above Rs.20.00 lacs but less than Rs.50.00 Lacs | 0.10 % |
| | Daily Turnover of Rs.50.00 lacs and above | 0.07 % |

### II. ACCOUNT OPENING CHARGES FOR OLT (On-Line Trading):

Consolidated charges - Rs.200/- to be paid at the time of opening the account. (All-inclusive i.e.Power of Attorney and other expenses).

Out of Pocket expenses — Rs.500/- .

### III.    OTHER INFORMATION:

Client to indicate option selected in the 3 in 1 application form.

Clients opting for Upfront brokerage have to necessarily indicate specific sub-option and remit applicable brokerage at the beginning of each month. By opting any of the scheme under this option client authorizes the Company to debit his account for the monthly upfront brokerage payable under respective scheme.

At the end of the validity period i.e. 1 month, minimum of the actual brokerage amount collected during the month or the upfront brokerage collected shall be refunded to the client.

Processing charges for change of option/scheme for brokerage shall be Rs.100 per request.

`Month' in the context of brokerage options/schemes shall refer to 'calendar month'. Part of a month shall be treated as a month.

Service Tax as applicable from time to time shall also be levied.

All statutory levies like stamp duty, securities transaction tax, exchange turnover tax, SEBI turnover fees etc., shall be charged on actual basis.

**SIGNATURE OF THE CLIENT:**