



CANARA BANK SECURITIES LTD.

(A wholly owned subsidiary of Canara Bank)

Member: BSE, NSE

CIN No. U67120MH1996GOI097783

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Website: www.canmoney.in

I) GENERAL RECRUITMENT PROJECT 2023-24/1

II) SPECIAL RECRUITMENT DRIVE 2023-24(BACKLOG- SC,ST & OBC)

CANARA BANK SECURITIES LTD. (CBSL), engaged in the business of stock broking and depository participants (a wholly owned subsidiary of **CANARA BANK**, a leading Public-Sector Bank) invites application, from the eligible candidates, for selection for the following posts:

I) GENERAL RECRUITMENT PROJECT 2023-24/1

- i) **Deputy Manager(1) –Database Administrator-1 (Mumbai)**
- ii) **Assistant Manager(3)- Research-2(Mumbai), Surveillance-1(Mumbai)**

Eligible candidates are requested to apply in the prescribed application available in our company's website www.canmoney.in.

"Please read this advertisement carefully and ensure your eligibility before submitting the application. Candidates who do not have the desired Educational Qualification and Experience need not apply"

Important Date	
Event	Date
Last Date for Receipt of physical application	25.05.2023

1. DETAILS OF POSTS FOR GENERAL RECRUITMENT PROCESS 2023-24/1

All posts are for Mumbai Location as Mentioned Above.

Sl No	Post	LOCATION	Age as on 30.04.2023 (Min-Max)	SC	ST	OBC	EWS	UR	Total
1	Deputy Manager-Database Administrator(1)	Mumbai	22-30	0	0	0	0	1	1
2	Assistant Manager (3) - Research(2), Surveillance (1)	Mumbai	22-30	0	0	0	0	3	3

Note:-

- For all the above posts, the candidates shall possess computer proficiency.
- Relaxation in the maximum age for SC/ST candidates by 5 Years & by 3 years to OBC candidates.
- Reservations are applicable as per prevailing government guidelines.
- For candidates with work experience in capital markets / financial services, a further relaxation in upper age limit will be considered to the extent of their work experience subject to a maximum of 10 years to all categories of candidates, provided such candidates submit satisfactory proof of relevant work experience.
- Age Criteria (Minimum 22 years - Maximum 30 years).

ELIGIBILITY CRITERIA & OTHER DETAILS:

All the eligibility [qualification, age etc.] shall be computed as on 30.04.2023 (inclusive).

Sno	Post	Qualification	Job profile	Post qualification work experience
1	Deputy Manager-(Database Administrator)	Minimum 50% marks or equivalent grade in 4 years BE / B Tech degree in Computer Science / Information Technology / Electronics & Communication Engineering / Instrumentation or MCA <u>And</u> Should possess DB certification like Oracle Certified Associate (OCA) or equivalent	<ul style="list-style-type: none"> ➤ Database administration ➤ Maintaining Data Base, Data Guard, Data Base Cluster & Report Generation ➤ Rectification of problems, Performance tuning, Monitoring Logs in database ➤ Trouble Shooting, Purging, Archiving, Backup & Point-in-time Recovery of database ➤ Creating Data Base, Managing Health Checkup of Data Base & ensuring high availability ➤ Defining Oracle Parameters etc. and 	Minimum 1-2 year's experience in the relevant area, preferably in any equity broking firm/company

			➤ Any other work entrusted by the Company from time to time.	
2	Assistant Manager (Research)	MBA - Finance from a Recognized Institute or CFA .Candidate who has completed NISM Certification for Research Analyst may be given preference.	<ul style="list-style-type: none"> ➤ Conduct in-depth fundamental / financial analysis of companies and making Financial Models for the same along with financial forecasting for the next 2-3 year. ➤ Maintain an extensive coverage list of companies and keep track of all the developments in those companies and / or sectors ➤ Regular reports based on findings / developments and authoring reports containing actionable recommendations ➤ Work in close co-ordination with other team members ➤ Contribute to various research related activities / report. ➤ Generate Trade calls based on the Technical analysis. ➤ Interact and visit the Institutional clients and make presentations. ➤ Interact with the Retail and HNI clients and provide stock ideas. ➤ Generate various reports like Morning report, Mid-day report and end day report to provide guidance to the clients. 	Minimum 2 years of experience with equity broker/financial Institution/ research house in the relevant field

			<ul style="list-style-type: none"> ➤ Generate periodic, thematic, event related reports and provide to the clients. ➤ Any other work entrusted by the Company from time to time. 	
3	Assistant Manager- (Surveillance)	<p>Degree with minimum score of 50% marks in any discipline from a recognized University or equivalent qualification recognized as such by Central Government.</p> <p>Candidates having Postgraduate programme in Securities Markets (PGPSM) from NISM, Mumbai, will be given preference.</p>	<ul style="list-style-type: none"> ➤ Creating, enhancing and implementing surveillance scenarios and conducting surveillance reviews. ➤ Improving surveillance tools and applications by coordinating with technology on the timely implementation of enhancements and proactively addressing potential issues. ➤ Performs basic , preliminary surveillance for trading in specified products ➤ Monitoring trading activity in markets to detect anomalies by reviewing electronically generated alerts ➤ Conduct analysis to identify potential risks for the Firm and escalate relevant matters to a designated senior as appropriate ➤ Gathering , reviewing , investigating and analyzing trade data as well as responding to regulatory inquiries from each of the major regulatory bodies (e.g. SEBI / NSE/ BSE/ MCX). The response process includes compiling all requested documentation , analyzing the results to identify potential issues , escalating potential risk issues to the appropriate coverage areas and providing input on potential corrective action plans designed to 	<p>Minimum 1-3 years of experience in equity brokerage houses in Surveillance Functioning, Having sound knowledge about Capital market & its Regulations.</p>

			<p>address issues that are identified.</p> <ul style="list-style-type: none"> ➤ Identify improvement areas and opportunities for automation ➤ Secondary responsibilities will include handling of regular compliances of NSE/ BSE/ MCX depositories. ➤ Keep abreast of trading system updates and products to implement effective surveillance oversight. ➤ Stay informed of global regulatory and market structure changes that may affect the scope of existing surveillance program ➤ Strong working knowledge with MS Excel, MS Word and Macros / Visual Basic ➤ Analysis of trade surveillance alerts on a post- trade basis and escalation of day- to- day exception reports. ➤ Stay informed on Margin requirements of the firm and stay alert on any exigencies. ➤ Responsible for client positions square off as specified ➤ Handling Physical Settlements of the clients. ➤ Responsible for submission of RBS data to exchange/regulators. <p>Any other work entrusted from time to time.</p>	
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Candidates selected as Deputy Manager/ Assistant Manager will be on probation for a period of ONE year (12 months of active service) from the date of joining, as per the HR policy of the Company.

POSTING:

Place of posting will be in **Mumbai** location.

II) SPECIAL RECRUITMENT DRIVE 2023-24 (BACKLOG SC,ST & OBC)

Special Recruitment Drive for Backlogs – (SC, ST & OBC)

- i) **Deputy Manager(1)- Database Administrator -1(SC) (Mumbai)**
- ii) **Assistant Manager(3) –Research-2 (1 SC & 1 ST Mumbai),
Surveillance-1 (SC) (Mumbai)**
- iii) **Junior Officer on Contract (1)- System Administrator 1 (OBC)
(Bengaluru)**

Eligible candidates are requested to apply in the prescribed application available in our company's website www.canmoney.in.

“Please read this advertisement carefully and ensure your eligibility before submitting the application. Candidates who do not have the desired Educational Qualification and Experience need not apply”

Important Date	
Event	Date
Last Date for Receipt of physical application	25.05.2023

RELAXATION:

Relaxation in upper age limit shall be given to

For candidates with work experience in capital markets / financial services, a further relaxation in upper age limit will be considered to the extent of their work experience subject to a maximum of 10 years to all categories of candidates, provided such candidates submit satisfactory proof of relevant work experience.

OTHERS

Operating & working knowledge in computer systems is essential for all the posts.

1. DETAILS OF POSTS -SPECIAL RECRUITMENT DRIVE 2023-24 (BACKLOG-SC, ST & OBC)

Sl No	Post	LOCATION	Age as on 31.01.2023 (Min-Max)	SC	ST	OBC	EWS	UR	Total
1	Deputy Manager – Database Administrator(1)	Mumbai	22-30	1	-	-	-	-	1
2	Assistant Manager – Research (2)	Mumbai	22-30	1	1	-	-	-	2
3	Assistant Manager-Surveillance(1)	Mumbai	22-30	1	-	-	-	-	1
4	Junior Officer on contract-System Administrator(1)	Bengaluru	20-28	-	-	1	-	-	1

Note:-

1. For all the above posts, the candidates shall possess computer proficiency.
2. Relaxation in the maximum age by 3 years to OBC candidates and 5 years to SC/ST Candidates.
3. **Candidates, who want to apply, shall belong to ST , SC & OBC as per the posts reserved for.**
4. For candidates with work experience in capital markets / financial services, a further relaxation in upper age limit will be considered to the extent of their work experience subject to a maximum of 10 years to all categories of candidates, provided such candidates submit satisfactory proof of relevant work experience.

2. ELIGIBILITY CRITERIA & OTHER DETAILS:

All the eligibility [qualification, age etc.] shall be computed as on 30.04.2023 (inclusive).

S.no	Post	Qualification	Job profile	Post qualification work experience
1	Deputy Manager-(Database Administrator)	<p>Minimum 45% marks or equivalent grade in 4 years BE / B Tech degree in Computer Science / Information Technology / Electronics & Communication Engineering / Instrumentation or MCA</p> <p><u>And</u></p> <p>Should possess DB certification like Oracle Certified Associate (OCA) or equivalent</p>	<ul style="list-style-type: none"> ➤ Database administration ➤ Maintaining Data Base, Data Guard, Data Base Cluster & Report Generation ➤ Rectification of problems, Performance tuning, Monitoring Logs in database ➤ Trouble Shooting, Purging, Archiving, Backup & Point-in-time Recovery of database ➤ Creating Data Base, Managing Health Checkup of Data Base & ensuring high availability ➤ Defining Oracle Parameters etc. and ➤ Any other work entrusted by the Company from time to time. 	Minimum 1 to 3 years' experience in Public/ Private organisation in the relevant field.
2	Assistant Manager (Research)	<p>MBA - Finance from a Recognized Institute or CFA .Candidate who has completed NISM Certification for Research Analyst may be given preference</p>	<ul style="list-style-type: none"> ➤ Conduct in-depth fundamental / financial analysis of companies and making Financial Models for the same along with financial forecasting for the next 2-3 year. ➤ Maintain an extensive coverage list of companies and keep track of all the developments in those companies and / or sectors ➤ Regular reports based on findings / developments and authoring reports containing actionable recommendations 	Minimum 2 years of experience with equity broker/financial Institution/ research house in the relevant field.

			<ul style="list-style-type: none"> ➤ Work in close co-ordination with other team members ➤ Contribute to various research related activities / report. ➤ Generate Trade calls based on the Technical analysis. ➤ Interact and visit the Institutional clients and make presentations. ➤ Interact with the Retail and HNI clients and provide stock ideas. ➤ Generate various reports like Morning report, Mid-day report and end day report to provide guidance to the clients. ➤ Generate periodic, thematic, event related reports and provide to the clients. ➤ Any other work entrusted by the Company from time to time. 	
3	Assistant Manager-(Surveillance)	<p>Degree with minimum score of 45% marks in any discipline from a recognized University or equivalent qualification recognized as such by Central Government.</p> <p>Candidates having Postgraduate programme in Securities Markets (PGPSM) from NISM, Mumbai, will be given preference.</p>	<ul style="list-style-type: none"> ➤ Creating, enhancing and implementing surveillance scenarios and conducting surveillance reviews. ➤ Improving surveillance tools and applications by coordinating with technology on the timely implementation of enhancements and proactively addressing potential issues. ➤ Performs basic , preliminary surveillance for trading in specified products ➤ Monitoring trading activity in markets to detect anomalies by reviewing electronically generated alerts ➤ Conduct analysis to identify potential risks for the Firm and escalate relevant matters to a designated 	<p>Minimum 1-3 years of experience in equity brokerage houses in Surveillance Functioning, Having sound knowledge about Capital market & its Regulations.</p>

			<p>senior as appropriate</p> <ul style="list-style-type: none"> ➤ Gathering , reviewing , investigating and analyzing trade data as well as responding to regulatory inquiries from each of the major regulatory bodies (e.g. SEBI / NSE/ BSE/ MCX). The response process includes compiling all requested documentation , analyzing the results to identify potential issues , escalating potential risk issues to the appropriate coverage areas and providing input on potential corrective action plans designed to address issues that are identified. ➤ Identify improvement areas and opportunities for automation ➤ Secondary responsibilities will include handling of regular compliances of NSE/ BSE/ MCX depositories. ➤ Keep abreast of trading system updates and products to implement effective surveillance oversight. ➤ Stay informed of global regulatory and market structure changes that may affect the scope of existing surveillance program ➤ Strong working knowledge with MS Excel, MS Word and Macros / Visual Basic ➤ Analysis of trade surveillance alerts on a post- trade basis and escalation of day- to- day exception reports. ➤ Stay informed on Margin requirements of the firm and stay alert on any 	
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			<p>exigencies.</p> <ul style="list-style-type: none"> ➤ Responsible for client positions square off as specified ➤ Handling Physical Settlements of the clients. ➤ Responsible for submission of RBS data to exchange/regulators. <p>Any other work entrusted from time to time.</p> <p>➤</p>	
4	Junior Officer on Contract-System Administrator.	<p>Minimum 45% marks or equivalent grade in 4 years BE / B Tech degree in Computer Science / Information Technology / Electronics & Communication Engineering / Instrumentation or MCA</p> <p><u>And</u></p> <p>Should possess OS Certification like Red Hat Certified Engineer (RHCE) / Microsoft Certified Solutions Associate (MCSA) or equivalent</p>	<ul style="list-style-type: none"> ➤ Maintenance, Monitoring and Management of Software and Hardware. ➤ Applying patches in Windows Environment ➤ Windows Server configuration & Management ➤ Performance Management of various hardware and softwares ➤ Liaison with the vendors/ Exchanges / NSDL and ensuring prompt service /AMC. ➤ Maintaining proper inventory records ➤ Co-ordinating with the Exchanges and ensuring proper conduct of mock and other drills. ➤ Technical support to user sections. and ➤ Any other work entrusted by the Company from time to time. 	Minimum 1 to 2 years of experience in Public/ Private organisation in the relevant field.

RELAXATION:

Relaxation in upper age limit shall be given to

- a. OBC candidates by 3 years And Sc/St candidates by 5 years
- b. For candidates with work experience in capital markets / financial services, a further relaxation in upper age limit will be considered to the extent of their work experience subject to a maximum of 10 years to all categories of candidates, provided such candidates submit satisfactory proof of relevant work experience.

OTHERS

Operating & working knowledge in computer systems is essential for all the posts.

All posts are for Mumbai and Bengaluru Locations as Mentioned Above.

COMMON GUIDELINES FOR BOTH RECRUITMENT PROJECTS:

COMPENSATION & LEAVE:

For above posts, the compensation shall be paid as under:

- **Deputy Manager**- Basic pay- Rs. 31800-1300(4), 37000-1400-(5), -44000 (Pay scale 31800-44000) + D.A, HRA & Conveyance Allowance. Approx. CTC Rs. 8.25 lacks plus incentive, Gratuity & Leave Components).
- **Assistant Manager** – Basic Pay- Rs. 21200 – 1200 (4)- 26000-1300 (5) -32500 (Pay scale 21,200- 32500) + D.A, HRA & Conveyance Allowance. Approx. CTC Rs. 5.50 lacs plus incentive, Gratuity & Leave Components)
- **Junior Officer on Contract**- Monthly emoluments – Rs. 29,000 (1) – 31000(2) – 34000(3) (Approx. CTC Rs.3.48 lacs excluding Leave Components)

Note: Permanent Employees are entitled to get DA and HRA apart from their salary.

NATIONALITY / CITIZENSHIP:

A candidate must be a citizen of India.

SELECTION PROCEDURE:

The selection for the aforesaid posts is on the basis of Short-listing and Interview.

Depending upon the number of vacancies, the Company reserves the right to shortlist requisite number of candidates based on the academic track record of the candidate, experience and suitability of the candidates, as decided by the company and only those shortlisted candidates will be called for the Interview. The time, date & place of Interview will be informed to the shortlisted candidates through email and candidates have to attend for the same at their own cost.

Final selection will be on the basis of marks secured by the candidate in interview.

Mere eligibility / admission to the Interview do not imply that the Company is satisfied beyond doubt about the candidates' eligibility and shall not vest any right in a candidate for selection. The company would be free to reject the candidature of any candidate at any stage of the selection process, if he / she is found to be ineligible and / or furnished incorrect or false information / certificates / documents or has suppressed any material facts.

IDENTITY VERIFICATION:

While appearing for the Interview, the candidate should produce photo identity such as PAN Card / Passport / Driving License / Voters Card / Bank Pass Book with Photograph / Photo embossed Credit Card for verification. If the identity of the candidate is in doubt, the candidate will not be allowed for Interview.

CONTRACT PERIOD: (Applicable to Junior officer/ Officer on contract)

The selected **Junior Officer** will be on contract for a period of 3 (three) years **(of active service) from the date of joining**, as per the HR policy of the company. During the term of the contract, the Company / Candidate engaged on contract can terminate the contract by giving three month's notice. At the end of the contract period, company may, at its sole discretion absorb the Candidate on contract in regular service of the company, subject to HR policy of the company prevailing as at that time.

HOW TO APPLY:

Candidates are requested to read the contents of the advertisement and ensure their eligibility before applying. Candidates have to send the physical application forms available in the website www.canmoney.in through Regd.post/Speed Post.

- **Candidates should have a valid personal e-mail id. This e-mail id should be kept functional till completion of this selection process. All the communication will be sent to the candidates on this e-mail id only.**
- The name of the candidate or his / her father / husband etc. should be spelt correctly in the application as it appears in the certificates / mark sheets.
- Candidates should take utmost care to furnish the correct details while filling in application. **Submission of incorrect / false information in the application will render the candidature invalid.**

Applications duly completed in all respects should be sent by REGISTERED POST/SPEED POST only in a cover super scribed "Application for the selection of xxxxxxxxxxxxxxxx in CBSL" (FILL IN THE RESPECTIVE POST APPLYING FOR). Applications received through email SHALL NOT BE ENTERTAINED.

CHECK THE FOLLOWING BEFORE SENDING THE APPLICATION BY POST:

1] Self attested Copies of the following documents are to be enclosed to the application;

- Birth Certificate / SSC / SSLC certificate with DOB.
- Copies of the mark sheets & certificates from SSC/SSLC/X STD, PUC/10+2/Intermediate, Graduation & other qualifications etc.
- Copies of experience certificates
- Copy of Caste Certificate in **prescribed format** in case of SC, ST & OBC category candidates (formats available in the website)
- Any other relevant documents

Address for sending completed physical applications:

**THE GENERAL MANAGER,
HR DEPARTMENT,
CANARA BANK SECURITIES LTD
7TH FLOOR,
MAKER CHAMBER III NARIMAN POINT
MUMBAI – 400021**

When candidates are called for Interview, they have to submit Originals of the documents for verification. Candidates will not be allowed to appear for the Interview without production of the original documents.

LAST DATE:

Last date for receipt of application along with copy of relevant Documents **25.05.2023**

The company shall not be responsible for any loss of application / documents in transit or for rejection of candidature for non-receipt of application. The application received after the last date will not be entertained.

CALL LETTERS:

The candidates who have been shortlisted will only be called for the Interview and informed **only to the registered e-mail** given by the candidate tentatively **by 05.06.2023**. Request for sending to different e-mail id subsequently will not be entertained.

Company will not take responsibility for late receipt / non-receipt of call letter / any communication e-mailed due to technical reasons or whatsoever to the candidate. Hence **candidates are requested to keep track of their application status by checking of their registered e-mail account between 5th june 2023 TO 6th june 2023**.

ACTION AGAINST CANDIDATES FOUND GUILTY OF MISCONDUCT:

Candidates should not furnish any particulars that are false, tampered, fabricated or suppress any material information while registering the application and submitting the certified copies / testimonials.

At the time of interview/during selection process, if a candidate is or has been found guilty of using unfair means or impersonating or procuring impersonation by any person; or misbehaving in the interview or taking away any documents from the venue; or resorting to any other irregular or improper means in connection with his/her candidature for the selection; or obtaining support of his/her candidature by any means, such a candidate may in addition to rendering himself/herself liable to criminal prosecution, shall be liable.

- (a) to be disqualified from the interview / selection process for which he / she is a candidate
- (b) to be debarred, either permanently or for a specified period from any examination or selection held by the company.

GENERAL INSTRUCTIONS:

- a) Candidates have to apply in the Application form provided in the company's website only. No other means of applications shall be entertained.
- b) Calling / admission to the interview is purely provisional without verification of age / qualification / category etc. of the candidates. Mere receipt of call letter for interview does not imply that the company is satisfied about the candidate's eligibility. Candidates should ensure their eligibility before applying / attending the interview. Company reserves right to reject ineligible candidate's applications at any stage.
- c) Candidates will have to appear for the interview at their own expenses. However, outstation SC/ST category candidates **called for interview** will be paid 2nd Class to & fro train fare or actual expenses incurred, whichever is less, by shortest route on production of proof of travel.

The above concession will not be admissible to SC/ST category candidates who are already in service in Central / State Government, Corporations, Public Undertakings / Local Government, Institutions and Panchayats etc.

- d) Decision of the company in all matters regarding eligibility of the candidate, the stages at which such scrutiny of eligibility is to be undertaken, the documents to be produced for the purpose of the conduct of interview, selection and any other matter relating to selection will be final and binding on the candidate. Further, the company reserves right to stall/cancel the selection partially / fully at any stage during the selection process at its discretion, which will be final and binding on the candidate.
- e) No correspondence or personal enquires shall be entertained by the company.
- f) The shortlisted candidates are required to submit all the documents pertaining to Age, Qualification, Experience, Caste etc. at the time of interview. These documents will be verified with originals at the time of interview. If any candidate is found

ineligible while verifying the documents, he / she shall not be allowed to take up interview.

- g) Candidates belonging to SC / ST / OBC should keep ready an attested copy of certificate issued by competent authority in the prescribed format as stipulated by Government of India. In case of candidates belonging to OBC category, certificate should specifically contain a clause that the candidate does not belong to creamy layer section excluded from the benefits of reservation for Other Backward Classes in Civil post & services under Government of India. OBC caste certificate should not be more than one year old.

THE COMPETENT AUTHORITY FOR THE ISSUE OF THE CERTIFICATE TO SC / ST / OBC IS AS UNDER: For Scheduled Castes / Scheduled Tribes / Other Backward Classes:

- (i) District Magistrate / Additional District Magistrate / Collector / Deputy Commissioner / Additional Deputy Commissioner / Deputy Collector / First Class stipendiary Magistrate / Sub-Divisional Magistrate / Taluk Magistrate / Executive Magistrate / Extra Assistant Commissioner;
- (ii) Chief Presidency Magistrate/ Additional Chief Presidency Magistrate/ Presidency Magistrate;
- (iii) Revenue Officer not below the rank of Tahsildar;
- (iv) Sub-Divisional Officer of the area where the candidate and/or his / her family normally resides.

Prescribed Formats of SC, ST, OBC certificates can be downloaded from company's website www.canmoney.in. Candidates belonging to these categories are required to produce the certificates strictly in these formats only.

- h) Selected candidates under Junior Officer shall be engaged on Contract for a period of 3 years as stated above.
- i) Selection of candidates is subject to his/her being declared medically fit as per the requirement of the company.
- j) Selected candidates shall execute service agreement and code of Conduct as per the HR policy of the company.
- k) Any resultant dispute arising out of this advertisement shall be subject to the sole jurisdiction of the Courts situated in Mumbai.
- l) Canvassing in any form will be treated as disqualification.
- m) The company shall not be responsible for an application being rejected which is based on wrong information provided in any advertisement issued by an unauthorized person/institution.
- n) The candidates who applied on earlier occasions and were not shortlisted/selected for the above vacancies in last 12 months need not apply again.
- o) The Company may also conduct police verification / drawing CIBIL Reports of the successful candidates.

Candidates in their own interest are advised to submit their applications well in time before the last date for submission and the company does not take any responsibility for the candidates not being able to submit their applications within the last date on account of the reasons beyond the control of the company.

Date: 10/05/2023

Place: Mumbai

GENERAL MANAGER