

Canara Bank Securities Limited

(A Wholly Owned Subsidiary of Canara Bank)

Member : BSE,NSE; Depository Participant : NSDL



JOB DESCRIPTION – JUNIOR OFFICER ON CONTRACT/ OFFICER / ASSISTANT MANAGER IN COMPLIANCE

Particulars	Details
Department	Compliance
Experience	• For Junior Officer on Contract: Min 2 years in broking firm • For Officer: Min 3 years in broking firm • For Assistant Manager: Min 5 years in broking firm
Location of Posting	• Mumbai
Job Description / Responsibilities	• Ensure compliance with SEBI, Exchange and Depository regulations • Handle regulatory filings and reporting • Ensure timely and accurate submission of NSE/BSE and Depository compliances • Manage regulatory and depository compliance requirements (NSDL/CDSL) • Collate and provide data for internal/external audits and inspections • Draft and update compliance policies and SOPs • Conduct internal compliance checks and monitoring • Liaise with regulators, exchanges, depositories and auditors
Job Specific Skills	• Strong knowledge of regulatory and depository framework • Understanding of NSE/BSE/NSDL/CDSL compliance requirements • Attention to detail and documentation skills • Analytical and problem-solving abilities
Educational Qualification	• Graduate / Postgraduate in Law / Finance / Commerce • CS / LLB preferred
CTC Offered	As per company Policy
How to Apply	Applications should be submitted on email: applications@canmoney.in Subject: Please mention “ Application for the post of Compliance ” No application shall be accepted with other subject.
Website	www.canmoney.in